

CLOSEOUT MEMORANDUM FOR A-01060021

OIG received a call from NSF's Animal Care representative referencing the application for a Single Project Assurance (SPA) from a university¹ for a pending proposal². The NSF representative noted that the university had an active award³ with animal experimentation but that NSF had not approved a SPA. During our review of the active award jacket, we noted the animal care certifications had been signed off by the Principal Investigator (PI) on the award who is also the chair of [REDACTED]. This is a clear COI.

OIG contacted the Provost⁴ at the university to request copies of the MPA/SPA for the award, [REDACTED] roster, [REDACTED] meeting minutes that discussed the award and the inspection report for the animal care facility at the university. The Provost indicated that there were no [REDACTED] documents available and no inspection report because there was no facility to inspect at the time the proposal was submitted. This suggests that the Animal Welfare certificate previously submitted by the PI is invalid.

After further review of the proposal and talking to the Institutional Official, OIG discovered that in addition to the COI there were false statement issues concerning this proposal. Since matters of this type are outside the scope of an A-case, we are closing this inquiry and transferring this matter to an I-case.

cc: Investigations; IG

1 [REDACTED]
2 [REDACTED]
3 [REDACTED]
Principal Investigator [REDACTED] co-Principal Investigator [REDACTED]
4 [REDACTED] Executive Vice President and Provost, [REDACTED]