



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: A03050022

Page 1 of 1

The case has its origins in an audit finding of non-compliance with respect to an institutional financial conflict-of-interest policy for PIs on NSF grants (requirements found in NSF Grants Policy Manual (GPM), Section 510). The Institution¹ agreed with the findings of the audit² and agreed to implement a compliant financial conflict-of-interest policy.

The institution was later contacted³, and inquiry revealed that no action had been taken by the institution to put into place a compliant conflict-of-interest policy. The need to do so was emphasized in conversations with the institution. As a result, the institution proposed modifications to their current policy to bring it into concordance with the requirements set forth in Section 510 of the GPM. The revised policy was adopted by the Board of Trustees of the institution at their meeting on December 5, 2003⁴. The policy appears to comply with NSF requirements.

Accordingly, this case is closed.

¹ Redacted.

² Redacted.

³ Redacted.

⁴ Redacted.