



NATIONAL SCIENCE FOUNDATION  
OFFICE OF INSPECTOR GENERAL  
OFFICE OF INVESTIGATIONS

## CLOSEOUT MEMORANDUM

Case Number: I03060024

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The National Science Foundation (NSF) office of Small Business Innovation Research (SBIR) received correspondence alleging that a business grantee<sup>1</sup> was ineligible for the SBIR program.

Investigation revealed that approximately one year ago, the Small Business Administration (SBA) determined the business grantee ineligible to participate in the SBIR program.

Further investigation indicated that the grantee applied for the SBIR funding with the provision and understanding that NSF would approve a contract novation, which would address the SBA ineligibility issue.

In view of the identified facts and circumstances, it was determined that the grantee did not knowingly submit a false certification.

SBIR decided to discuss the novation with the grantee and not release any money until the novation is completed.

Accordingly, this case is closed

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<sup>1</sup> Footnote redacted