



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I04060018

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The National Science Foundation, Office of Inspector General (NSF/OIG) received an allegation from the Principal Investigator¹ (PI) regarding financial mismanagement of an NSF award.²

NSF/OIG contacted the University³ and requested detailed accounting of the expenses charged to the NSF award. We also requested information as to any change of the PI on the above-mentioned award. A review of the Financial Cash Transaction Reports (FCTR's) for the last active quarter of the award revealed that the remaining funds⁴ had been expended. The University provided all requested information and adequate documentation to support the final expenditures to the NSF award.

The University also provided a copy of a letter that indicated that the PI had accepted a position as a tenured professor at another University⁵ and had not been on campus since the spring of 2003. The University further advised that there was no requirement to inform NSF of the PI's departure because his employment officially terminated after the NSF award closed.

I contacted the Program Officer (PO)⁶ and advised him of the situation regarding the status of the final report for the NSF award. The PO explained that the PI is responsible for preparing and submitting the final report based on the scientific results, and that the PI would be unable to receive additional funding until he submitted the final report.

Due to the lack of evidence to support the misuse of Federal funds, this case is recommended for closure.

Accordingly, this case is closed

¹ Footnote redacted

² Footnote redacted

³ Footnote redacted

⁴ Footnote redacted

⁵ Footnote redacted

⁶ Footnote redacted