



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I10080038

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This case was generated from a proactive review of NSF SBIR awards to companies that lack adequate research facilities. The president¹ of a company², the PI on three NSF SBIR awards, listed addresses for his company's facilities which we determined to be residential properties. From information reviewed, it appeared that he may have misrepresented his company's research facilities and provided false information in the three NSF proposals.

We reviewed the three proposals and related reports and determined that all the research work was conducted at subcontractor facilities. The PI did not state or claim that work was performed at his company's facilities. We contacted the PI and confirmed that the company's current and prior facilities are/were housed at his residence. However, he explained that only machine-work, milling and lathe work is performed in the large garage adjacent to his home. All laboratory work was/is done at universities/subcontractor facilities. Consequently, we determined that the PI did not make any false statements or claims regarding his company's facilities or where research was conducted on the NSF awards.

There is no evidence of any wrongdoing, false statements, or false claims by the PI. Therefore, no further investigative activity is warranted in this matter.

This case is therefore closed.

[REDACTED]