



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I1201003

Page 1 of 1

A proactive review found an individual¹ apparently held two other positions during the period he served as PI for two SBIR Awards.² We opened an investigation to determine if the individual violated SBIR award conditions that require the PI to be primarily employed by the small business receiving the SBIR award.

When interviewed, the PI stated he was primarily employed with the small business during the SBIR award. He acknowledged that he held two other positions with other companies.³ However, both positions were part-time and did not pay a salary.

The NSF Program Officer⁴ expected the PI to spend at least 51% of his work time with the small business during the period of the SBIR Award. However, the program officer did not know if the PI worked at other companies during the SBIR award. The program officer had no issues with the amount of time the PI worked on the SBIR awards.

We did not substantiate that the PI violated SBIR award conditions regarding primary employment. Nor did we find evidence that funds were fraudulently used. Accordingly, this investigation is closed.

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