



NATIONAL SCIENCE FOUNDATION
OFFICE OF INSPECTOR GENERAL
OFFICE OF INVESTIGATIONS

CLOSEOUT MEMORANDUM

Case Number: I-12100064

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Through a proactive review of Small Business Innovative Research (SBIR)/Small Business Technology Transfer Program (STTR) awards we identified a Phase I SBIR award¹ to a small business² that potentially lacked adequate facilities to support their research.³ Additionally, we discovered this same small business may have made false statements about the schedule for receiving outside investments in their proposal for Phase II funding.⁴

The investigation revealed that the facilities were in fact adequate for the research. With regard to the statements about the outside investments, we determined that NSF did not consider the timing variances to be material either to the award decision or to the performance of the award.

This investigative case is closed with no further action necessary.

¹ [REDACTED]

² [REDACTED]

³ The address registered as the research facility, [REDACTED], was the home of [REDACTED] President [REDACTED].

⁴ One of the requirements is that the small business receive a certain amount of funding from third party investors. The small business stated in its Phase II proposal that it would receive part of the third party investment shortly after the award and the balance within the next year, when in fact the business did not receive any of the funding until eight months after the Phase II was awarded and shortly after our investigative inquiry.