

NATIONAL SCIENCE FOUNDATION
WASHINGTON, D.C. 20550



Office of
Inspector General

MEMORANDUM

DATE: March 3, 1994 [REDACTED]
FROM: [REDACTED], Special Agent
VIA: [REDACTED], Special Agent-in-Charge
Investigations Section
TO: Case No. I92120029

On September 11, 1992, we were contacted by [REDACTED] of NSF's Office of the General Counsel. Mr. [REDACTED] stated that he had been contacted by the [REDACTED] Attorney General's office, which was investigating the [REDACTED] activities of [REDACTED], a former employee of [REDACTED] Educational Service District [REDACTED]

Mr. [REDACTED] allegedly had [REDACTED] proposals, listing himself as Principal Investigator, for a private company, the [REDACTED], while at the same time employed by [REDACTED] Center as a grant [REDACTED]. Upon award of NSF grant no. ESI-9252979 to the [REDACTED], Mr. [REDACTED] left [REDACTED] for employment with that company. [REDACTED] concerns were that any funds secured by [REDACTED] for the private company rightfully belonged to the [REDACTED] that [REDACTED] may have improperly copyrighted intellectual property of the [REDACTED] and that [REDACTED] and others may have exhibited unprofessional conduct by their activities.

The Attorney General's office requested copies of any grant proposals submitted to NSF by Mr. [REDACTED] which we provided. They stated that prosecution of Mr. [REDACTED] regarding the above matters was pending, the status of which they would keep us informed.

We reviewed the grant proposals submitted to NSF by Mr. [REDACTED], and found that he correctly stated his employment status in the proposals. We found no false statements or other irregularities in the proposals. On February 28, 1994, the [REDACTED] Attorney General's office informed us that prosecution of [REDACTED] had been discontinued, as they and [REDACTED] believed there were insufficient

grounds for pursuing the matter. As we found no evidence of wrongdoing, further investigation is unwarranted.

This case is closed.