NATIONAL SCIENCE FOUNDATION ARLINGTON, VA 22230



MEMORANDUM

DATE: March 15, 1995

FROM: Special Ment

VIA: Special Agent-in-Charge

Investigations Section

SUBJECT: Prospective Review of Possible Duplicate Funding

at

TO: Case No. 194010002

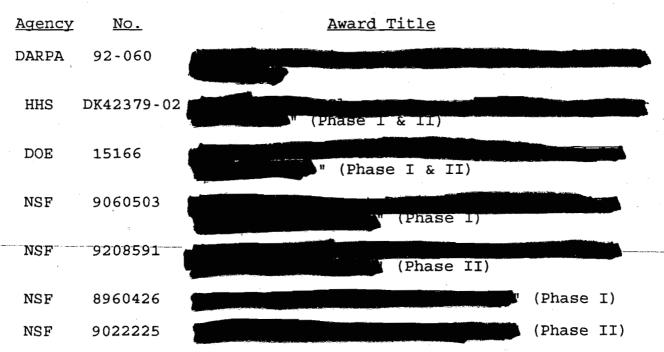
On January 29, 1994, we initiated an investigative file or Inc., a small business which had received seven awards from NSF under the Small Business Innovation Research (SBIR) program. Through prospective review of SBIR firms and awards, we had discovered the possible submission of substantially similar SBIR proposals and final reports by

Our initial review found that submitted a phase I SBIR proposal to the Defense Advanced Research Projects Agency (DARPA) on January 10, 1991, entitled,

A substantially similar proposal was submitted to NSF on June 14, 1991, entitled

proposals were awarded, and similar final reports were submitted to DARPA and NSF in 1992. However, the NSF proposal declared the prior DARPA proposal as current support, and the significant differences in the respective final reports did not support a charge of duplicate funding. Nonethless, because of the substantial similarities between the two, we requested further Moltech award documentation from the Department of Energy (DOE) and the Department of Health and Human Services (HHS). The file has remained active pending review of this documentation.

Upon their receipt, we reviewed for possible duplication the following additional roposals and final reports:



We found no substantial duplication or other irregularities concerning these awards. Further investigation in this case is not warranted.

This case is closed.