

NATIONAL SCIENCE FOUNDATION
4201 WILSON BOULEVARD
ARLINGTON, VIRGINIA 22230



OFFICE OF
INSPECTOR GENERAL

MEMORANDUM

Date: August 14, 1996
To: Case File No. I96030016
Through: [REDACTED], Special Agent-in-Charge, Investigations Section
From: [REDACTED], Investigations Section
Re: Case Resolution - [REDACTED]

Allegation:

We received an allegation that Dr. [REDACTED] while working at NSF, awarded funding to universities in order to procure his current position at the University of [REDACTED]

Dr. [REDACTED], a faculty member at the University of [REDACTED] in [REDACTED] worked in a two year appointed position at NSF from January 1993 to December 1994, in the Division of Environmental Biology. In January 1995, he became the Director of the [REDACTED] University of [REDACTED]. He remained on the faculty of the University of [REDACTED] and currently travels between the two universities.

Findings:

We sampled and reviewed [REDACTED] grants that received funding during Dr. [REDACTED] appointment at NSF. We found no evidence that Dr. [REDACTED] violated any restrictions on approving funding to universities.

We reviewed the conflict of interest forms that Dr. [REDACTED] filed with NSF's Office of the General Counsel. He listed the University of [REDACTED] and the University of [REDACTED]¹ on these forms. There was no evidence that Dr. [REDACTED] signed any documents for funding for these universities. In addition, Dr. [REDACTED] transferred his grant at the University of [REDACTED] to another PI when he accepted his position at NSF.

¹ The University of [REDACTED] awarded Dr. [REDACTED] an honorarium. The honorarium obligates the recipient to list the institution as a conflict of interest for one year. Dr. [REDACTED] listed the university as a conflict of interest from April 1993 to June 1994.

We examined Dr. [REDACTED] travel expenditures for his NSF grants from January 1995 to present. On two occasions, Dr. [REDACTED] received reimbursements from the University of [REDACTED] and the University of [REDACTED] for the same travel expenses. Dr. [REDACTED] reimbursed the University of [REDACTED] account on both occasions.

Conclusions:

We have not found any evidence that Dr. [REDACTED] funded grants for the purposes of his own financial interests or career interests. Furthermore, there is no evidence that Dr. [REDACTED] violated any NSF or U.S. Code restrictions for allocating funding to universities.

Based on our findings, this case is closed.