

MEMORANDUM

DATE: August 29, 1997  
TO: I96120060  
FROM: [REDACTED] Special Agent [REDACTED]  
VIA: [REDACTED] Special Agent-in-Charge  
RE: Close-out of [REDACTED]

Background

In December 1996, we received allegations regarding Dr. [REDACTED] an Associate Professor in the Department of [REDACTED] and the Principal Investigator on NSF award [REDACTED]. The accusations were numerous, but concerned five primary areas 1) billing irregularities, 2) purchasing/sales irregularities, 3) data control irregularities, 4) failure to follow University policies and procedures, and 5) irregularities in the publication of Dr. [REDACTED] dissertation.

Investigative Results

Documents detailing prior internal [REDACTED] University investigations<sup>1</sup> of this same matter were obtained from the [REDACTED] University Internal Audit department. Internal Audit completed an overview and independent review of the University investigation, and concluded that the allegations had been adequately addressed.

<sup>1</sup> A managerial inquiry was completed by the College of [REDACTED] regarding the allegations against Dr. [REDACTED] the Manager of the [REDACTED] at the relevant time period.

The above reviews resulted in the implementation of the following corrective actions:

- 1) [REDACTED] Program's management structure was reorganized to phase out Dr. [REDACTED] as Manager of the [REDACTED] and to install an oversight committee in his place
  - 2) An Alternate Accountable Property Officer is now required to approve future sales and dispositions of scrap or equipment
  - 3) A [REDACTED] policy has been implemented
  - 4) Efforts continue to ensure the highest quality [REDACTED] data
- 

### Conclusions

Based on the dual reviews at the University level, and implementation of policies negating the institutional weaknesses causing rise to the harms, the investigative portion of this case is closed.