

MEMORANDUM

Date: March 6, 2001

To: File No. I-99070031

From: [REDACTED] Attorney [REDACTED]

Via: [REDACTED]

Subject: [REDACTED] Closeout

Background:

In 1998, the [REDACTED] received an anonymous tip that the director of the [REDACTED] center had misappropriated NSF funds. [REDACTED]'s internal audit detected potential misuse of federal funds at the [REDACTED]. The director of the [REDACTED] was [REDACTED].

Investigation:

In a memo dated December 8, 1998, [REDACTED] auditor, advised the Director of Sponsored Programs, [REDACTED], that [REDACTED]'s Internal Audit revealed possible fraudulent use of federal and state funds at the [REDACTED]. The results indicate that travel, personnel, and equipment funds were misappropriated by the center director.

NSF Special Agent [REDACTED] subsequently subpoenaed the internal audit report from [REDACTED]'s General Counsel, [REDACTED]. The investigation regarding the misappropriation of state funds was conducted by the [REDACTED] State Police [REDACTED]. [REDACTED] was charged with 5 counts of Misappropriation of state funds and 3 counts of Obtaining Money under False Pretenses.

The AUSA turned down the case for criminal prosecution as the amount in question fell below the federal jurisdictional minimum. The [REDACTED] Attorney General's office prosecuted the case before the Circuit Court of [REDACTED].

Findings:

██████████ was convicted of two counts of felony fraud by a ██████████ state court and ordered to pay restitution on August 23, 2000. He was acquitted of the other four charges against him. ██████████ was sentenced to 3 years incarceration for each count (concurrent, suspended), one year of unsupervised probation, costs of \$696.00 and restitution to ██████████. On February 8, 2001, ██████████ withdrew his request to appeal the sentence imposed. Consequently, the ██████████ State Attorney's office has closed this case.

Subsequent to his conviction, ██████████ resigned from his job as a dean at the ██████████
██████████

This case is closed.

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