NATIONAL SCIENCE FOUNDATION 4201 WILSON BOULEVARD ARLINGTON, VIRGINIA 22230,



MEMORANDUM

Date:

June 2, 2000

To:

File No. 199120047

From:

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, Special Agent -

Via:

, Assistant Inspector General for Investigations

Subject:

Case Closeout –

Background:

(complainant) and her attorney, On July 8, 1999, spoke with NSF/OIG Investigations to relay information concerning the potential misuse or mismanagement of NSF funds at the Her allegations concerned fiscal University mismanagement of \$350,000 from NSF award with s the Principal Investigator (PI). The source of legation was inancial analyst, who told in passing that there was a 'real problem with the budget, which they could not account for \$350,000'. There was a meeting between Executive Director, Fiscal Manager, Tr., and and no mention of the budget issues was ever raised to The complainant was not aware of the details of the unaccounted funds.

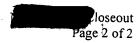
Investigation:

On July 9, 1999 OIG requested the Cooperative award packet for review. On September 1, 1999 the OIG Investigator, SA requested the expenditure report for the Cooperative award.

On November 1, 1999 the complainant recontacted the SA per for further information about the allegations and related information that arose in her administrative

The complainant was formerly the Director of Communications, who was subsequently fired after a raised two complains.

Grant entitled "began and expires (estimated), with all estimated total amount of NSF Program Manger:



proceeding. The complainant explained that the questioned cost category might be Student Outreach stipends. On November 2, 1999 OIG received a copy of the proceeding transcripts.

In order to further assess the institution's risk of mismanagement, on November 9, 1999, SA sequested a six-month judgmental sample of documented expenditures for travel, graduate support, student salaries, recruitment and seminars, and miscellaneous categories. OIG received the financial documents November 26, 1999.

Findings:

The review of expenditures over the six-month sample demonstrated no unallowable costs or overspent cost categories. Additionally, the complainant was not detailed about the allegation which she received second-hand from an employee's comment who later filed complaints against the complainant. Given the lack of findings, this case does not warrant interviews of the same and a referral to OIG Audit. This case is closed.