

## M00090034 Closeout

During a routine check of the NIH proposal system, a Program Official noticed that the Principal Investigator had a proposal of similar subject under review at the National Institutes of Health (NIH) but did not list the proposal on the current and pending support page (NSF Form 1239) at the time the proposal was submitted. The proposal<sup>1, 2</sup> was brought to the Office of Inspector General for review of this potential misconduct issue.

After careful review of the information provided, we learned that at the time the principal investigator<sup>3</sup> submitted his NSF proposal he also had a proposal under review at National Institutes of Health, National Institute of General Medical Sciences (NIH/NIGMS)<sup>4</sup>. A letter<sup>5</sup> was sent to the investigator in which he was asked to explain this omission. The investigator responded via email<sup>6</sup> with an explanation (followed by hard copy). The investigator took full responsibility for the omission relaying that there must have been some miscommunication between he and his administrative assistant<sup>7</sup>. He further explained that in his current grant submission to NSF the current and pending support (NSF Form 1239) was complete. Both the NSF and NIH proposals were subsequently declined.

A detailed analysis of the current and pending support statement we requested along with the most recent grant submission to NSF,<sup>8</sup> indicates that the omission of NIH/NIGMS proposal on NSF Form 1239 in the previous grant submission was not a deliberate attempt to mislead NSF. The submission of the most recent grant submission to NSF was completed prior to this inquiry. Therefore, OIG finds that this matter does not warrant further investigation.

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Footnotes Redacted