

## CLOSEOUT FOR M-94030006

On March 9, 1994, [REDACTED] Program Manager [REDACTED] informed OIG of an allegation of misrepresentation in NSF proposal [REDACTED] entitled [REDACTED] submitted by the subject, [REDACTED] faculty member, [REDACTED]. The complainant, [REDACTED] a faculty member [REDACTED] in his role as a panel member reviewing the subject's proposal, alleged that the subject may have listed references in the "Bibliography" and "Biographical Sketch" as "in press" or "under review" that did not actually exist.

OIG determined that the subject's "Bibliography" and "Biographical Sketch" in her NSF proposal listed 18 unique references for which she was an author or co-author. These 18 references included 10 published articles and 3 articles listed as either "in press" or "accepted for publication." Of the remaining five references, two were listed as "in progress," two were listed as "unpublished manuscripts," and one was listed as a meeting presentation.

OIG confirmed that the 10 articles listed as published were all published prior to the subject's submission of her NSF proposal. Further, the three articles listed as "in press" or "accepted for publication" were all published no later than a year following the submission of the proposal. OIG was unable to locate an abstract for the one meeting presentation referenced because the proposal's citation was incomplete. We learned that not all meeting presentations in the subject's field result in published abstracts. OIG also determined that it was a common practice in the subject's field to include references to "unpublished manuscripts." The practice of listing a future publication as a reference and indicating it as "in progress" does not obligate an author to complete the publication.

OIG concluded that there was no substance to the allegation that the subject misrepresented information in her proposal. This inquiry was closed and no further action will be taken.

cc: Staff Scientist, Deputy AIG-Oversight, AIG-Oversight, IG